



INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB) Meeting 42, Vladivostok, 2017-10-09

**SUBJECT**

Revised Decision List from CAB meeting 42, Vladivostok, 2017-10-09

**BACKGROUND**

This document replaces CAB/1699/DL, circulated on 2017-10-10, with the following modifications:

- in CAB Decision 42/11, "(ii)" is replaced by "(iii)", and "CA Systems delegate" is replaced by "CA System's delegate";
- in CAB Decision 42/29, the first name of Mr Tiedemann has been corrected.

**Decisions by correspondence between meetings**

None

**Decisions taken at the meeting**

**CAB Decision 42/01 — *Approval of the agenda***

The CAB approved the agenda contained in CAB/1657C/DA with the addition of two late documents, CAB/1700/R under Item 6.2 and CAB/1701/DV under Item 6.3.2.

**CAB Decision 42/02 — *Approval of the consent agenda items***

CAB, by consent, noted and approved the 15 documents listed in Annex A to this decision list as consent agenda documents, and expressed appreciation to all those concerned with their creation and submission.

**CAB Decision 42/03 — *CAB WG membership***

The CAB noted document CAB/1682/INF and appointed the new members identified therein to the respective Working Groups with the addition of Nickolay I. Fayzrakhmanov in WG 10.

**CAB Decision 42/04 — *Vademecum***

The CAB thanked the Task Force Convenor, Mr Steve Margis, for the report, CAB/1676/R, and for the seven recommendations contained therein and decided to treat each as follows:

A1: CAB approved the Vademecum Edition 1.1 for publication.

- A2: CAB approved this recommendation to modify the document IEC CAB-P01 and tasked the CAB Secretariat with completing it before the June 2018 CAB meeting.**
- A3: CAB did not agree to this recommendation to remove the reference to ISO/IEC Directives from document IEC CA 01 until the CA Directives in A4 are completed.**
- A4: CAB approved this recommendation to develop an IEC CA Directives and tasked WG 10 with providing an update on this task to CAB by its June 2018 meeting.**
- A5: CAB approved this recommendation to review the CAB Policy document and tasked WG 10 with completing it for approval by CAB.**
- A6: CAB approved this recommendation and tasked WG 10 with formulating a recommendation for a modification to the IEC Statutes and Rules of Procedures and submitting it to CAB for approval at its June 2018 meeting.**
- A7: CAB then disbanded the Vademecum task force.**

**CAB Decision 42/05 — *CAB ahg for committee rules***

The CAB thanked the Convenor, Mr Simon Barrowcliff, for his verbal report and instructed this group to complete the task given to it in CAB Decision 41/18 (from June 2017) by making a proposal to CAB for approval at its June 2018 meeting.

**CAB Decision 42/06 — *Report from ahg BizL***

The CAB thanked the Convenor, Mr Uwe Kampet, for his verbal report and, recognizing that he was completing his final term as a CAB Member and was therefore stepping down as the Convenor at the end of 2017, further thanked him for having lead this group. CAB then appointed Mr Gerhard Imgrund as the interim Convenor of this group pending a new Convenor appointment at the June 2018 CAB meeting. Finally CAB encouraged the interim Convenor to lead the group to complete the outstanding tasks (given in CAB Decision 41/22 from June 2017) within the requested time delay.

**CAB Decision 42/07 — *ahg BizL conversion to a Working Group***

The CAB converted the BizL ahg into a Working Group and supports the eventual conversion into a CAB committee once the committee rules have been approved.

**CAB Decision 42/08 — *ahg BizL call for experts and new convenor***

CAB approved a call for members and a new convenor for the BizL ahg to be circulated by the CAB Secretariat at the beginning of 2018 once the new members of CAB have officially joined the CAB.

**CAB Decision 42/09 — *CAB WG 10 on Policy and Strategy***

The CAB thanked the WG 10 Convenor, Mr Shawn Paulsen, for the report, CAB/1687/R, providing feedback on a SWOT analysis and, recognizing the tasks and timelines assigned to WG 10 in CAB Decision 42/04, further tasked this group with evaluating the SWOT feedback, also in relationship to the new IEC Masterplan and implementation plan, and providing recommendations towards an IEC CA strategic plan, and

complete this analysis and recommendations by the IEC GM CAB meeting in 2018.

**CAB Decision 42/10 — CAB WG 11 on CA Systems issues**

The CAB thanked the WG 11 Convenor, Mr Tim Duffy, for his verbal report and, noting the successful development and approval of both the HBR Ed. 2.0 and the IEC CAB Policy of Peer Assessment Fundamentals, also noting both some longstanding tasks still remaining and some newly assigned tasks, provides the following priorities and time lines to WG 11:

- CAB Decision 37/13 (2015) – standalone scheme rules  
Timeline: to provide an update at the June 2018 CAB meeting.
- CAB Decision 39/20 A.9 (2016) – concerning harmonisation of scheme rules for personnel competencies.  
Timeline: to provide an update at the June 2018 CAB meeting.
- CAB Decision 41/38 (2017) – CA Systems/MB re-endorsement process and stakeholder involvement common process  
Timeline: to be completed for approval at the June 2018 CAB meeting.

**CAB Decision 42/11 — CAB WG 14 on Promotion**

The CAB thanked the WG 14 Convenor, Mr Ian Forte, for the document, CAB/1678/R, and approved the newly proposed Terms of Reference (ToR) therein, with the modification that “delegate” is replaced by “recommend to the appropriate body” in ToR item (iii), and in Item (i) that bullet point two is changed to read “Ensure that an appropriate CA System’s delegate is a member of WG 14”.

**CAB Decision 42/12 — CAB WG 17 – Cyber Security**

The CAB thanked the WG 17 Convenor, Mr Pierre Selva, for his verbal report and thanked the German NC for their proposal given in document CAB/1679/DC, with comments in CAB/1679A/CC. CAB recognized that efficiency could be gained by concentrating all IEC operational CA cybersecurity activities.

To serve the needs of the market and regulators, IECEE shall serve as the focus point for technical evaluation forming part of the conformity assessment services for all IEC CA Systems. The other IEC CA Systems shall define any additional sector-specific requirements as far as appropriate.

The CAB recognized the importance to maintain a strong contact and relationship with UNECE with the goal of creating a Common Regulatory Objectives best practice document for use by regulators, as was created with IECEx in 2011.

**CAB Decision 42/13 — IECRE Annual report**

The CAB, noting document CAB/1658/R, and recognizing that there were no matters for discussion or action by CAB, thanked the IECRE Executive Secretary, Mr Kerry McManama, for his additional verbal report.

**CAB Decision 42/14 — IECRE 2016 accounts**

The CAB approved the IECRE audited accounts for 2016 contained in CAB/1659/DV.

**CAB Decision 42/15 — IECRE 2017 Financial forecast**

In view of the remarks given in CAB/1660/INF CAB therefore encouraged IECRE both to review its funding model and, together with the IECRE MBs, the MB participants and the IEC CO, to seek greater opportunities to promote the IECRE and its activities to the key sector stakeholders with a focus on financiers, insurers and governments.

**CAB Decision 42/16 — IECRE 03 Ed. 1.0 ME-OMC - Rules of Procedure**

The CAB endorsed IECRE 03 Ed. 1.0 ME-OMC - Rules of Procedure as given in document CAB/1690/DV.

**CAB Decision 42/17 — IECEX Annual report**

The CAB noted and endorsed the annual report given in document CAB/1661/R, as supplemented by CAB/1700/R, and thanked the IECEX Vice-Chair, Mr Alexander Zalogin, for his additional verbal report.

**CAB Decision 42/18 — IECEX 2016 accounts**

The CAB approved the IECEX audited accounts for 2016 contained in CAB/1663/DV.

**CAB Decision 42/19 — IECEX Vice-Chair appointment**

The CAB, noting the document CAB/1662/DV, accepts the nomination of Mr Marty Cole as the IECEX Vice-Chair and appoints him to this position for a 3 years term from 1 January 2018 to 31 December 2020.

**CAB Decision 42/20 — IECEX Treasurer re-appointment**

The CAB, noting the document CAB/1661/R, as supplemented by CAB/1700/R, accepts the nomination of Mr Thierry Houeix as the IECEX Treasurer and re-appoints him to this position for a further 3 years term from 1 January 2018 to 31 December 2020.

**CAB Decision 42/21 — IECEX Supplement to the IEC HBR Ed 2.0**

The CAB approves the IECEX supplement to the Harmonised Basic Rules IEC CA 01 Ed. 2.0, as indicated in the document CAB/1664/DV, including the modification to clause 7.102 and the addition of clause 12.2, as indicated in clause 1.4 of document CAB/1700/R.

**CAB Decision 42/22 — IECQ Annual report**

The CAB noted and endorsed the annual report given in document CAB/1665/R and thanked the IECQ Chair, Mrs Marie-Elisabeth d'Ornano, for her additional verbal report.

**CAB Decision 42/23 — IECQ 2016 accounts**

The CAB approved the IECQ audited accounts for 2016 contained in CAB/1666/DV.

**CAB Decision 42/24 — *IECQ Supplement to the IEC HBR Ed 2.0***

The CAB approved the IECQ supplement to the Harmonised Basic Rules IEC CA 01 Ed. 2.0, as indicated in the document CAB/1667/DV including the modification to clauses 7.4 and 7.5 as indicated in document CAB/1701/DV.

**CAB Decision 42/25 — *IECEE Annual report***

The CAB noted and endorsed the annual report given in document CAB/1668/R and thanked the IECEE Executive Secretary, Mr Kerry McManama, for his additional verbal report.

**CAB Decision 42/26 — *IECEE 2016 accounts***

The CAB approved the IECEE audited accounts for 2016 contained in CAB/1669/DV.

**CAB Decision 42/27 — *revised IECEE Supplement to the IEC HBR Ed 1.0***

The CAB approved the revised second edition IECEE supplement to the Harmonised Basic Rules IEC CA 01 Ed. 1.0, as indicated in the document CAB/1670/DV.

**CAB Decision 42/28 — *Gratitude to Affiliate Country Leadership***

The CAB expressed its gratitude to the Affiliate Leader, Mrs Rosario Uria, for her presentation to the CAB, document CAB/1695/INF, and report, CAB/1692/R. The CAB recognized the growth of the Affiliate Country programme with, today, 87 countries of which 56 of those with NECs. The CAB also appreciated the value and the efforts of the ACAS programme, was encouraged by its growth currently to 15 countries and 7 declarations, and the three ACAS events, in Costa Rica, Kenya and Peru, since the last report.

**CAB Decision 42/29 — *Gratitude to Mr Jan-Henrik Tiedemann***

The CAB expressed its gratitude and appreciation to Mr Tiedemann, the IEC Community Manager, for his interesting and informative presentations concerning the IEC IT department and its processes, and the new IEC Academy, training school, which CAB intended to use extensively. CAB also requested that the IEC CA Systems' documents should be managed by the centralised document system.

**CAB Decision 42/30 — *Gratitude to Mr Andrey Lotsmanov of RSPP***

The CAB expressed its gratitude to Mr Andrey Lotsmanov, First Deputy Head of RSPP (the Russian Union of Industrialists and Entrepreneurs), for his presentation, CAB/1693/INF, was impressed by the size and range of the membership and the percentage of Russian GDP that is represented and appreciated the results achieved in its efforts to align with world's best legislative practices. CAB also encouraged continued and increased interaction with RSPP.

**CAB Decision 42/31 — *Gratitude to Mr Claude Koutoua of AFSEC***

The CAB expressed its gratitude to Mr Claude Koutoua, President of AFSEC (African Electrotechnical Standards Commission), for his presentation, CAB/1694/INF, recognized the difficult situation in Africa in terms of product dumping, product quality issues, identification of valid certificates and other quality issues, and appreciated the proposed potential support areas, which CAB promised to take into consideration.

**CAB Decision 42/32 — *Gratitude to Dr Bernhard Thies of CENELEC***

The CAB expressed its gratitude to Dr Bernhard Thies, CENELEC President, for his presence at this meeting and for his interesting and informative presentation given in document CAB/1697/INF. CAB further appreciated the expression of strong support by CENELEC towards IEC and proposed that CENELEC work closely with IEC CA Systems in the domain of cybersecurity.

**CAB Decision 42/33 — *Gratitude to Mr Basem Salameh of GSO***

The CAB expressed its gratitude to Mr Basem Salameh, Conformity Assessment Specialist GSO (GCC Standardization Organization), for his presence at this meeting and for his interesting and informative presentation given in document CAB/1696/INF.

CAB further requested if GSO could develop and publish a guidance document describing how reports and certificates from IEC CA services can be used as proof of conformity within the GCC region, in line with the IEC-GSO cooperation agreement.

**CAB Decision 42/34 — *Attesting compliance with additional/complementary requirements to the IEC standards***

The CAB thanked Mr Trond Sollie for the document CAB/1680A/DC with comments in CAB/1680C/CC and for his additional verbal comments. CAB noted that the IEC CA Policy already allowed for the assessment and attestation of conformity with requirements that are additional/complementary to those in the IEC and/or ISO standards, identifying current examples within existing CA Systems, IECEx personnel certification and regulations through the IECQ hazardous substances scheme (HSPM).

In the specific question of CA services, to attest to national E3 requirements, CAB recognized the current position of the IECEE. Any request for certification to requirements or specifications other than those in IEC or ISO standards by the IEC CA Systems should use the current process of seeking approval by CAB.

<b>Consent Agenda documents (from agenda CAB/1657C/DA)</b>		
<b>Document number</b>	<b>Subject</b>	<b>DA item</b>
C/2048/INF	Appointment/re-appointment of two “Automatically Appointed Members” of the CAB by Council (term of office 2018-2020).	4.9
C/2049A/INF	Election/re-election by Council in Vladivostok of five members of the CAB for a three-year term of office (2018-2020)	4.9
CAB/1602/INF	CAB membership, as of January 2017	4.7
CAB/1637A/INF	CAB 2017 and 2018 deadlines	4.5
CAB/1653A/RM	Confirmed minutes of June CAB meeting	4.1
CAB/1648/INF	CAB report to CB, June 2017	7.1.1
CAB/1649/INF	SMB report to CB, June 2017	7.1.2
CAB/1651/INF	SMB decisions of interest to the CAB	7.2.1
CAB/1656/INF	MSB report to CB following meeting 12, 2017-06-20	7.1.3
CAB/1683/R	CASCO report to CAB	8.1
CAB/1685/INF	CASCO Roadmap, publications and meetings	8.1.1
CAB/1686/INF	CASCO WG meeting schedules and IEC representation	8.1.3
CAB/1688/R	CASCO/WG 46 report to CAB	8.1.2
CAB/1689/R	ACART report to CAB	7.2.2
CAB/1691/R	ISO/CASCO WG 49 Report to CAB	8.1.2