



CAB/1580/DL
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2016-10-10

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB) Meeting 40, Frankfurt, 2016-10-10

SUBJECT
List of the decisions from CAB meeting 40, Frankfurt, 2016-10-10

Decisions by correspondence between meetings

Decision 40/01 — *CAB WG 17 – Cyber Security - new Convener*
CAB appointed Mr Pierre Selva as the WG 17 Convener, approving his proposed support team consisting of Mr Didier Giarratano, Mr David Doggett and Mr David Hanlon (CAB Secretary), and urged this new team to quickly start to move WG 17 forward to the completion of its assigned tasks.

Decisions taken at the meeting

Decision 40/02 — *Approval of the agenda*
The CAB approved the agenda contained in CAB/1540A/DA with the modification that items 6.4.1 and 8.1.3 were removed.

Decision 40/03 — *Approval of the consent agenda items*
Item 4.7 was moved from the consent agenda to the main agenda then CAB, by consent, noted and approved the 16 documents listed in Annex A to this decision list as consent agenda documents and expressed appreciation to all those concerned with their creation and submission.

Decision 40/04 — *Joint ahg on feedback loop from CA to SD*
The CAB thanked the Convener, Mr Wolfgang Niedziella, for his verbal report, and encouraged the SMB to urge the DMT to integrate the approved process into the Directives as early as possible.

Decision 40/05 — *Joint CAB/SMB ahg on CA in standards*
In response to CAB Decision 39/10, the CAB appreciated the progress expressed in the verbal report from the CAB Convener for this joint ahg, Mr Gerhard Imgrund, and encouraged this group to continue to work closely with the SMB ahg to achieve the goal of submitting a proposed guidance document on CA in standards to CAB for vote by correspondence in February 2017. The CAB also welcomed three new

members to this group, Mr Tim Duffy, Mr Chris Agius and Mr Kerry McManama.

Decision 40/06 — *YP material Task Force*

The CAB thanked the Convener, Mr Ian Forte, for his verbal report, congratulated the Task Force for its good work since the June CAB meeting, and recognizing that the tasks had been completed, disbanded this Task Force.

Decision 40/07 — *Vademecum*

The CAB thanked the Task Force for its report, CAB/1568/R, and for the two recommendations contained there-in and decided to treat each as follows:

A1: CAB approved in principle the draft CAB Vademecum Version 0.7 as CAB Vademecum Version 1.0 for publication after some minor modifications and subsequent voting by correspondence.

A2: CAB approved the continued work of the Task Force to assess gaps identified during the development of document drafts and make recommendations for the handling of such items and requested that this work be completed by the next CAB meeting in June 2017.

Additionally, CAB requested that the Task Force seek feedback from new members of CAB as to the usefulness and effectiveness of the newly published Vademecum and report this information, and any resulting recommendations, to CAB at its next meeting in June 2017.

Decision 40/08 — *CAB WG 10 on Policy and Strategy*

The CAB thanked the WG 10 Convener, Mr Shawn Paulsen, for his verbal report and for the completed tasks since the June CAB meeting and urged him to lead this working group quickly towards the completion of the SWOT analysis and providing a final report to CAB at its June 2017 meeting, as given in CAB Decision 39/19.

Decision 40/09 — *CAB WG 11 on CA Systems issues*

The CAB thanked the working group for its report, CAB/1570/R, and the verbal update from its Convener, Mr Tim Duffy, and endorsed the actions taken to consult with the IEC CA Systems on the draft proposal for HBR Edition 2.0. CAB was further encouraged by the stated intention of the working group to submit a draft high level document covering Peer Assessment (as required by CAB Decision 37/9) for CAB approval by the next CAB meeting in June 2017.

Decision 40/10 — *CAB ahg for committee rules*

The CAB, in response to the recommendation in the WG 11 report, CAB/1570/R, established an ad-hoc group tasked with creating draft rules for the establishment and operation of CAB committees. Mr Simon Barrowcliff was named as convener with Didier Bourgès, Byoung-Kuk Lee, Alisa Manassa, Kerry McManama, Chris Agius and David Hanlon as members. This ahg will provide its report and recommendations to CAB at its 2017 June meeting.

Decision 40/11 — CAB WG 14 on Promotion

The CAB thanked the WG 14 Convener, Mr Ian Forte, for the report, CAB/1566/R, and was encouraged by the actions already completed.

Decision 40/12 — CAB WG 17 – Cyber Security

The CAB thanked the new WG 17 Convener, Mr Pierre Selva, for the report, CAB/1565/R, and encouraged the new Convener to move the tasks of this working group forward quickly.

Decision 40/13 — IECRE Annual report

The CAB, noting document CAB/1563/R, and recognizing that there were no matters for discussion or action by CAB, thanked the IECRE Executive Secretary for his additional verbal report.

Decision 40/14 — IECEx Annual report

The CAB noted and endorsed the annual report given in document CAB/1550A/R and thanked the IECEx Chair, Mr Thorsten Arnhold, for his additional verbal report.

Decision 40/15 — IECEx Chair re-appointment

The CAB, noting the document CAB/1550/R, accepts the nomination of Dr Thorsten Arnhold as the IECEx Chair and re-appoints him to this position for a new 3 years term from 1 January 2017 to 31 December 2019.

Decision 40/16 — IECEx Executive Secretary re-appointment

The CAB, noting the document CAB/1550/R, accepts the nomination of Mr Chris Agius as the IECEx Executive Secretary and re-appoints him to this position for a new 5 years period from 1 January 2017 to 31 December 2021.

Decision 40/17 — IECEx 2015 accounts

The CAB approves the IECEx audited accounts for 2015 contained in CAB/1548A/INF.

Decision 40/18 — IECEx Basic Rules

The CAB approves the amendments to the IECEx Basic Rules, IECEx 01 as indicated in the document CAB/1549A/DV.

Decision 40/19 — IECQ Annual report

The CAB noted and endorsed the annual report given in document CAB/1553/R and thanked the IECQ Chair, Ms Marie-Elisabeth d'Ornano, for her additional verbal report.

Decision 40/20 — IECQ 2015 accounts

The CAB approves the IECQ audited accounts for 2015 contained in CAB/1552/INF.

Decision 40/21 — *IECEE Annual report*

The CAB noted and endorsed the annual report given in document CAB/1554A/R and thanked the IECEE Chair, Mr Wolfgang Niedziella, for his additional verbal report.

Decision 40/22 — *IECEE 2015 accounts*

The CAB approves the IECEE audited accounts for 2015 contained in CAB/1555/INF.

Decision 40/23 — *IECEE Basic Rules*

The CAB approves the revised IECEE 01 Basic Rules as indicated in the document CAB/1556/DV.

Decision 40/24 — *Code of ethics, code of conduct*

The CAB, in reference to point 1.3 of document CAB/1554A/R, established an ahg tasking it with creating a comparison document of the existing documents concerning code of ethics and code of conduct from the IEC CA Systems, the SD side of the IEC and other appropriate sources (eg; examples from ISO) and to provide this document to WG 10 by end January 2017. Mr Andy Evans was named as convener with Marty Cole, Trond Sollie, Weijun Liu, Kerry McManama, Chris Agius and David Hanlon as members.

Decision 40/25 — *Gratitude to Affiliate Country Leadership*

The CAB expressed its gratitude to the Affiliate Leader, Mrs Rosario Uria, for her presentation to the CAB, CAB/1544/INF, and report, CAB/1543/R. The CAB recognized the growth of the Affiliate programme with, today, 85 countries of which 51 of those with NECs. The CAB also appreciated the value and the efforts of the ACAS programme and was encouraged by its 2 years growth to currently 14 countries, with the first e-learning “recognition of achievement” being delivered to Ecuador.

Decision 40/26 — *Gratitude to INEN presenter from Ecuador*

The CAB expressed its gratitude to Mr César Díaz Guevara, CEO of INEN, for his presentation, CAB/1574/INF, and was encouraged to learn how the ACAS programme has been adopted as an important element of the Ecuadorian strategy for improving national production. The CAB also congratulated Mr Guevara for being the first to receive an e-learning “recognition of achievement”, and appreciated both the structured e-learning training programme that has been initiated in Ecuador, and feedback and suggested extensions to the e-learning material.

Decision 40/27 — *Gratitude to ISO/CASCO presenter*

The CAB regretted the unavoidable absence of the new ISO/CASCO Chair, Mr Frank Makamo, but expressed its appreciation to Mr Sean MacCurtain, the ISO/CASCO Secretary, for his presence and his presentation, CAB/1547/INF. The CAB recognized the importance of the ISO/IEC 17000 series of conformity assessment standards as a mainstay

of world CA activities, including its own IEC CA activities, and as a consequence regards and treats its relationship with ISO/CASCO as a strong and essential partnership.

Decision 40/28 — *IEC Coordinators in ISO/CASCO WGs*

The CAB, noting document CAB/1578/INF, thanked the IEC Coordinators in ISO/CASCO WGs for their important contribution to the representation of IEC in these working group meetings and their reporting to the CAB. In particular the CAB thanks Mr Heribert Schorn, Mr Robert Sitzmann, Mr Chris Agius and Mr David Hanlon, and strongly encourages them to continue in this important work. The CAB also welcomed Mr Steve Margis as an IEC representative to CASCO WG 46 on Validation and Verification.

Decision 40/29 — *Consolidated CABPUB process document*

The CAB approved the modifications, given in Annex A of CAB/1546/DV, and to the consolidation, into a single document, of the processes given in CAB/462/INF (modifications included), CABPUB/83/INF and Annex A of CAB/1545/DV after modification and approval by correspondence, and agreed that this new consolidated document should be available on the IEC website.

Decision 40/30 — *Gratitude to ILAC-IAF presenter*

The CAB expressed its gratitude to Mr Xiao Jianhua, the IAF Chair, for his presence at this meeting and for his presentation, CAB/1572/INF, and recognized that ILAC and IAF were important international organizations working towards the objective of an internationally harmonized approach for accreditation practices.

Decision 40/31 — *Gratitude to UNECE presenter*

The CAB expressed its gratitude to Ms Marion Stoldt, the UNECE WP 6 Chair, for her presentation, CAB/1571/INF, and further expressed its support for the UNECE WP 6 activities. The goal of achieving regulatory cooperation and harmonized international conformity assessment is a noble and worthwhile objective to which the IEC CA activities can and already do contribute. The CAB appreciates its very constructive relationship with UNECE WP 6, and expressed its commitment to continued cooperation in events and joint documents such as the UN Common Regulatory Framework for Explosive Atmospheres.

Decision 40/32 — *Gratitude to OIML presenter*

The CAB expressed its condolences for the passing of Mr Willem Kool, but welcomed Mr Paul Dixon and expressed its gratitude for his presence and his presentation, CAB/1573/INF. The CAB recognized the importance of OIML work for international legal metrology and reconfirmed its openness, to a desire expressed by Mr Kool some years earlier, to provide cooperation and support, on a management and/or operational level, to any global conformity assessment scheme(s) that the OIML may create.

Decision 40/33 — *Gratitude to IRENA presenter*

The CAB expressed its gratitude to Mr Francisco Boshell for his presentation, CAB/1576/INF, and for his consistent and loyal participation at this, his third consecutive, CAB meeting at the IEC GM. The CAB recognized the complementarity between IRENA and IECRE; IRENA with its government policy setting assistance, and the IECRE with its policy realisation assistance.

Decision 40/34 — *Gratitude to IFIA presenter*

The CAB expressed its gratitude to Ms Hanane Taidi, the IFIA Director, for her presentation, CAB/1575/INF. IFIA is the global trade association for 3rd party conformity assessment bodies that provide testing, inspection and certification services primarily in the mining, metals and petroleum sectors, the food and agriculture sector and consumer products. IFIA also conducts checks on supplier's declaration of conformity. The CAB confirmed its interest in a continuing dialogue with IFIA.

Annex A to CAB/1580/DL

Consent Agenda documents (from agenda CAB/1540B/DA)		
Document number	Subject	Agenda item N°
C/1969/INF	Re-appointment by Council in Frankfurt of two “Automatically Appointed Members” of the Conformity Assessment Board (CAB) for a three-year term of office (2017-2019).	4.10
C/1970/INF	Election/re-election by Council in Frankfurt of two members of the Conformity Assessment Board (CAB) for a three-year term of office (2017-2019).	4.10
CAB/1513/R	Liaison report of ACEE (Advisory Committee on Energy Efficiency) meeting.	7.2.3
CAB/1531A/RM	Confirmed report of CAB meeting 39 held in Geneva on 2016-06-13.	4.1
CAB/1532/INF	Report to the Council Board by the CAB Chair following the CAB meeting held in Geneva on 2016-06-13.	7.1.1
CAB/1533/INF	Report to the Council Board by the SMB Chair following the SMB meeting held in Geneva on 2016-06-14.	7.1.2
CAB/1534/INF	Summary of the Standardization Management Board (SMB) decisions of interest to the CAB taken at SMB Meeting 156 held on 14 June 2016 in Geneva.	7.2.1
CAB/1536/R	Report of SG 8.	7.2.5
CAB/1539/INF	Report to the Council Board by the MSB Chair following the MSB meeting held in Tokyo on 2016-07-01.	7.3
CAB/1541/DV	CAB WG 17 new Convener – CAB Decision by correspondence required.	4.5
CAB/1542/INF	List of members of CAB as of 2016-08.	4.8
CAB/1579/INF	2017 and 2018 deadlines for CAB documents and comments. Deadlines for submission and approval of CA Systems’ budgets and for submission of audited accounts. Dates for “1906” Awards and “Thomas Edison” Awards nominations.	4.6, 10.1 & 10.2
CAB/1582/INF	ISO Technical Management Board (TMB) resolutions taken at its recent meetings, of interest to CAB.	8.1.6
CAB/1584/R	Report of ACART, Advisory Committee on Applications of Robotic Technologies, following its meeting on 2016-06-16/17 in Shenyang, China.	7.2.2
CAB/1585/R	Report of ACSEC, Advisory Committee on Information security and data privacy, following its meeting on 2016-05-17 in Milano.	7.2.4
SMB/5910/DL	List of decisions taken at SMB meeting 156, held on 2016-06-14 in Geneva, Switzerland.	7.2.1