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CAB/1803/DL

2018-10-23

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB)

Meeting 44, Busan, 2018-10-22

SUBJECT

List of decisions from CAB meeting 44, Busan (KR), 2018-10-22

BACKGROUND

This list includes decisions taken by correspondence between the 43rd and 44th CAB meetings, as well as decisions taken during CAB meeting 44 in Busan KR).

Decisions by correspondence between meetings

CAB Decision 44/01 — IECRE Budget 2019

Noting the positive ballot reported in CAB/1766A/RV, the CAB approved the IECRE budget for 2019 contained in CAB/1766/DV.

CAB Decision 44/02 — Approval of IECEE Rules of procedure

Noting the positive ballot reported in CAB/1769A/RV, the CAB approved the IECEE Rules of procedure contained in CAB/1769/DV, as follows:

- IECEE02 ed. 18.0
- IECEE02-1 ed. 6.0
- IECEE02-2 ed. 6.0
- IECEE definitions ed. 5.0

Since a “defer” vote was received for the following document

- IECEE03 ed. 8.0

it was discussed at the 2018 October CAB meeting in Busan.

CAB Decision 44/03 — IECEE 2017 audited accounts

Noting the positive ballot reported in CAB/1773A/RV, the CAB approved the Report of the Auditor related to the IECEE audited accounts for 2017 contained in CAB/1773/DV.

CAB Decision 44/04 — Approval of IECEE Officer positions

Noting the positive ballot reported in CAB/1774A/RV, the CAB approved the IECEE officer positions contained in CAB/1774/R, as follows:

- Mr Wolfgang Niedziella as IECEE Chair reappointed for a second term commencing 2019-01-01 and ending 2021-12-31
- Mr Steven Margis as IECEE Vice-Chair appointed for a first term commencing 2019-01-01 and ending 2021-12-31
- Mr Pierre Selva as IECEE Treasurer reappointed for a second term commencing 2019-01-01 and ending 2021-12-31
- Mr Kerry McManama as IECEE Executive Secretary reappointed for a second term commencing 2019-01-01 and ending 2023-12-31

CAB Decision 44/05 — IECRE 2017 audited accounts

Noting the positive ballot reported in CAB/1778A/RV, the CAB approved the Report of the Auditor related to the IECRE audited accounts for 2017 contained in CAB/1778/DV.

Decisions taken at the meeting

CAB Decision 44/06 — Approval of the agenda

The CAB approved the agenda contained in CAB/1777C/DA with the addition of three late documents, CAB/1800/DC under Item 6.1.1, CAB/1797A/R under Item 6.2 and CAB/1801/INF under Item 10.1.

CAB Decision 44/07 — Approval of the consent agenda items

CAB, by consent, noted and approved the 20 documents listed in Annex A to this decision list as consent agenda documents, and expressed appreciation to all those concerned with their creation and submission.

CAB Decision 44/08 — CAB rules for voting by correspondence

The CAB noting document CAB/1786/DC decided to adopt the rules given therein with the text modified to state that “only the fifteen appointed or elected members of the Conformity Assessment Board have the right to vote” and with the modification that the “defer” option is removed from point (e) of the process, and all of the three options proposed are rejected, and with a modification to the second last paragraph to indicate “based on comments received” Rather than “too many comments”, and requested that WG 10 integrate this procedure into the forthcoming CA Directives.

CAB Decision 44/09 — CAB common understanding of “approve” and “endorse”

The CAB noting document CAB/1785/DC decided to adopt the definitions given therein and requested that WG 10 integrate and define them in this way in the forthcoming CA Directives, with the final statement “must cease to be used” replaced with “shall take action as requested by CAB”.

CAB Decision 44/10 — Centralized document system for CAB and CA Systems

The CAB noting document CAB/1787/DC thanked Peter Godwin, IEC Head of IT, for his explanations and requested that the IT department conduct an analysis including implementation time of what would be required to convert IEC CA Systems into the EMS, centralized document and voting system and provide this analysis to CAB in Q1 2019.

CAB Decision 44/11 — Gratitude to Mr Jan-Henrik Tiedemann

The CAB expressed its gratitude and appreciation to Mr Tiedemann, the IEC Community Manager, for his interesting and informative presentations concerning the IEC Academy and training school, which CAB intended to use extensively, and the new IEC Collaboration Platform.

CAB Decision 44/12 — WG 10 report – Policy and Strategy

The CAB thanked the WG 10 Convenor, Mr Steve Margis, for his verbal report, and noting that the Masterplan Implementation Plan (MPIP) had been set as the immediate priority appreciated the advancement in this respect, but also encouraged the working group to complete its many other tasks as quickly as possible.

CAB Decision 44/13 — MPIP

The CAB thanked WG 10 Task Force Masterplan Implementation Plan (TF MPIP) for their proposals, given in CAB/1800/DC, for the first seven most urgent items and approved them all for submission to the Council Board TF MPIP. Then, to align with an expected request from Council Board for submission by March 2019 of proposals covering items for implementation in 2020, CAB tasked WG 10 TF MPIP with completing proposals for the two remaining items for CAB approval by correspondence in Q1 2019.

CAB Decision 44/14 — CAB WG 11 on CA Systems issues

The CAB thanked the WG 11 Convenor, Mr Tim Duffy, for the working group report and for his verbal supplement.

CAB recognized the proposal by WG 11 to strengthen the language in IECCAB P01 Cl. 6.6, and tasked WG 10 with its implementation.

CAB decided to treat the recommendations as follows:

A.1 CAB approved the recommendation to remove the following text from the current WG 11 ToR “Current task: to draft a high level document covering Peer Assessment.”

A.2 CAB approved the proposed modifications to the IEC CA01 Clause 5.1 text as given in the report and tasked the CAB Secretariat with updating the document and publishing an edition 2.3 for immediate implementation.

CAB then encouraged the WG to complete its outstanding tasks by the next CAB meeting in June 2019.

CAB Decision 44/15 — CAB WG 14 on Promotion

The CAB thanked the WG 14 Convenor, Mr Ian Forte, for the document, CAB/1790A/R, and his additional verbal report, and congratulated the working group, the IEC Communication’s department and the host committee on the good collaboration to make the CA promotional booth in Busan a great success.

CAB Decision 44/16 — CAB WG 17 – Cyber Security

The CAB thanked the WG 17 Convenor, Mr Pierre Selva, for the document, CAB/1796A/R, and his additional verbal report. CAB was encouraged by the draft UN Common Regulatory Objectives Framework Guidelines for Cybersecurity and gave its endorsement to continue the development with UNECE WP.6. CAB was further encouraged by the news of the high level meeting held between the EU Commission, ENISA, CENELEC and IEC concerning the EU draft Act on cybersecurity and supported ongoing actions in this regard and in other regions of the world.

CAB Decision 44/17 — CAB WG 18 - BizL

The CAB thanked the Convenor, Mr Gerhard Imgrund, for the document, CAB/1788A/R, and his additional verbal report. With respect to recommendations:

A.1 and A.2 CAB, recognizing the time constraints since the last meeting, and that a meeting was scheduled for December, accepted the proposal that tasks from CAB Decision 43/23 be completed for the June 2019 CAB meeting.

A.3 CAB approved the proposed scope/ToR modifications given in the report.

CAB Decision 44/18 — ahG CAB Subgroups meeting block-weeks

The CAB thanked the CAB Secretary for his verbal report on this issue and encouraged the ahG to continue to seek common dates for use as block-weeks where CAB subgroups could hold back-to-back meetings in an efficient way.

CAB Decision 44/19 — CAB ahG Dedicated promotions staff member

The CAB thanked the Convenor, Mr Pierre Selva, for his verbal report on this issue and, recognizing that only one remote meeting had taken place and that a second meeting was scheduled for December, appreciated the anticipated report to be circulated in Q1 2019 for CAB comment and discussion at the June 2019 CAB meeting.

CAB Decision 44/20 — CAB ahG FinCom

The CAB thanked Convenor, Mr Pierre Selva, for the document, CAB/1798A/R and for his additional verbal report. CAB recognizing that there were three issues, took the following decisions on each:

- 1) In a separate decision CAB tasked the CA Systems with submitting budget and two years forecast to CAB, and declared this task as completed for this ahG. CAB then tasked WG 11 with proposing updated rules in the IEC CA 01 to reflect the two years forecast.
- 2) CAB encouraged this ahG to work closely with WG 10 TF MPIP on the relevant MPIP financial implications and to make proposals aligned with the time line given in CAB Decision 44/13.
- 3) CAB requested that this ahG provide a final recommendation on the issue of offsetting CA Systems' management committee meetings costs, by the next CAB meeting, in June 2019.

CAB Decision 44/21 — Budget and two years forecast

The CAB tasked the IEC CA Systems, starting with the 2020 budget, with providing an annual budget and an additional two years forecast when submitting yearly budgets in accordance with the format and requirements of the IEC FinCom, and to submit these in the normal way, in March, for approval by CAB.

CAB Decision 44/22 — IECRE Annual report

The CAB, noting the annual report given in document CAB/1779/R and that the 2017 audited accounts had been previously treated in a vote by correspondence, thanked the IECRE Chair, Mr Sandy Butterfield, for his additional verbal report. CAB also requested the IECRE treasurer to report on its Q1 2019 financial situation.

CAB Decision 44/23 — Endorsement of IECRE Rules of Procedure

The CAB, noting document CAB/1779/R, endorsed the revised IECRE 03 Edition 2.0 - Marine Energy Operational Management Committee (ME-OMC) - Rules of Procedure, given in the Annex.

CAB Decision 44/24 — IECEX Annual report

The CAB noted and endorsed the preliminary annual report given in document CAB/1784/R, supplemented by CAB/1784A/R, and thanked the IECEX Chair, Mr Thorsten Arnhold, for his additional verbal report.

CAB Decision 44/25 — IECEX 2017 audited accounts

The CAB approved the Report of the Auditor related to the IECEX audited accounts for 2017 contained in CAB/1783/DV.

CAB Decision 44/26 — Stakeholder survey reports

CAB noting that each of the CA Systems have now generated stakeholder survey reports, as required by IEC CA 01 edition 2.1 and later, tasked WG 11 with reviewing the summaries, and with considering the need for additional columns or information, and providing a report to CAB for its June 2019 meeting.

CAB Decision 44/27 — IECQ Annual report

The CAB noted and endorsed the annual report given in document CAB/1782/R and, in the absence of the IECQ Chair, Mrs Marie-Elisabeth d'Ornano, thanked the IECQ Vice-Chair, Mr Young-kwon Chang, for his presence and the Executive Secretary, Mr Chris Agius, for their additional verbal reports.

CAB Decision 44/28 — IECQ Treasurer re-appointment

The CAB, noting document CAB/1782/R, accepted the nomination of Mr Wynn Bowman as the IECQ Treasurer and re-appointed him to this position for a second three years term from 1 January 2019 to 31 December 2021.

CAB Decision 44/29 — IECQ 2017 audited accounts

The CAB approved the Report of the Auditor related to the IECQ audited accounts for 2017 contained in CAB/1781/DV.

CAB Decision 44/30 — Endorsement of IECQ 03-7 Rules of Procedure

The CAB, noting document CAB/1794/DV, endorsed the revised IECQ 03-7 IECQ Counterfeit Avoidance Programme (IECQ AP-CAP) – Programme requirements.

CAB Decision 44/31 — redline versions

CAB tasked WG 10 with the creation of guidelines for redline versions of CA System documents for inclusion in the future CA Directives.

CAB Decision 44/32 — IECEE Annual report

The CAB noting the annual report given in document CAB/1774A/R, and that the matters for CAB approval had been previously treated in a vote by correspondence, thanked the IECEE Chair, Mr Wolfgang Niedziella, for his additional verbal report.

CAB Decision 44/33 — Endorsement of IECEE03 ed. 8.0 Rules of procedure

Due to a “defer” vote received in a vote by correspondence as indicated in CAB/1769A/RV, the vote on document IECEE03 ed. 8.0 contained in CAB/1766/DV, was deferred for discussion and vote at the 2018 October CAB meeting in Busan, where it was endorsed.

CAB Decision 44/34 — CAB Liaison to SMB/SG 13 - Consortia

Following the circulation of the questionnaire, CAB/1776/DC, and the responses received in CAB/1776A/CC, Mr Fredrik Wennersten was appointed as the CAB liaison to SMB/SG 13.

CAB Decision 44/35 — Report from CAB liaison to ACEE – Energy Efficiency

The CAB thanked its liaison officer, Mr Toshiyuki Kajiya, for the report given in document CAB/1793A/R and encouraged him to continue with this important liaison work.

CAB Decision 44/36 — Gratitude to Affiliate Country Leadership

The CAB expressed its gratitude to the Affiliate Leader, Mr Rojas Manyame, for his presentation to the CAB and report,

CAB/1795/R. The CAB recognized the important role of the Affiliate Country programme which facilitates countries to become members of the IEC, in so doing, sometimes success is measured by a reduction in its numbers, such as Bangladesh, Côte d'Ivoire and Peru becoming IEC members in the last 12 months. The CAB also appreciated the value and the efforts of the ACAS programme, encouraged by its growth from 15 countries and 7 declarations, a year ago, to currently 31 countries and 21 declarations and the widespread and growing use of the eLearning modules.

CAB Decision 44/37 — *Gratitude to Mr Dennis Chew*

The CAB expressed its gratitude and appreciation to Mr Chew, the Regional Director of the IEC Singapore Office for his presentation to CAB about the activities of the regional office and how they work closely with and support the IEC CA Systems' activities in the region.

CAB Decision 44/38 — *Gratitude to Mrs Evah Oduor and Mr Francois Ahoti*

The CAB expressed its gratitude to the two Regional Directors of the IEC Nairobi Office, Mrs Evah Oduor and Mr Francois Ahoti for their presentation, and appreciated the capacity building and training activities, especially in conformity assessment, of the regional centre, together with the successes such as Ivory Coast becoming an IEC Associate Member and a number of other countries joining the Affiliate Country Programme, or having their status upgraded to Affiliate plus.

CAB Decision 44/39 — *Report from IEC/IAF/ILAC Steering Committee Chair*

The CAB thanked, Mr Ted Gaertner, the IEC/IAF/ILAC Steering Committee Chair, for his verbal report concerning the face-to-face meeting that took place three day before this CAB meeting, and noted the important issues discussed, which included the unified assessments, the Accreditation Body (AB) assessments, the common interpretation of ISO/IEC 17025 and ISO/IEC 17065, and other items.

CAB Decision 44/40 — *Gratitude to Mr Simon Barrowcliff*

The CAB expressed its gratitude to Mr Simon Barrowcliff, CAB Member from the UK, for his update on the situation concerning Brexit, contained in CAB document CAB/1801/INF. With the exit date set for 11pm GMT on 29 March 2019 (just 5 months away), the prospect of a “no deal” scenario was possible. CAB then asked if Mr Barrowcliff would also provide a further update to its next meeting in June 2019.

ANNEX – document checklist			
CAB meeting 44 – Busan 2018-10-22			
	Document number	Subject	DA item
C	C/2134/INF	Appointment by Council in Busan of two “Automatically Appointed Members” of the Conformity Assessment Board (CAB) for a three-year term of office (2019-2021)	4.8
C	C/2135/INF	Election/re-election by Council in Busan of two members of the Conformity Assessment Board (CAB) for a three-year term of office (2019-2021)	
C	CAB/1715/INF	CAB membership, as of January 2018	4.7
C	CAB/1761/INF	CAB Subgroups membership, and liaison officers, as of June 2018	4.9
C	CAB/1762/INF	CAB Chair report to the Council Board following CAB meeting 43, Geneva (CH), 2018-06-11	8.1.1
C	CAB/1763/INF	SMB report to the CB following SMB meeting 162 held in Geneva (AT), on 2018-06-12	8.1.2
C	CAB/1765/INF	SMB decisions of interest to the CAB, SMB Meeting 162, Geneva (CH), 2018-06-12	8.2
C	CAB/1766A/RV	Report of voting on the updated proposed IECRE 2019 Budget	4.4
C	CAB/1767A/RM	Confirmed report of CAB meeting 43 held in Geneva on 2018-06-11	4.1
C	CAB/1769A/RV	Report of voting on the IECEE updated Rules document	4.4, 7.4.2
C	CAB/1770A/RV	Report of voting on the proposed revision of IECEE 01-S – IECEE Supplement to IEC CA 01	4.4
C	CAB/1772/INF	MSB report to CB following meeting 13 held in Arlington (US) ,on 2018-06-20	8.1.3
C	CAB/1773/DV	Approval of the IECEE 2017 audited accounts	7.4.1
C	CAB/1773A/RV		
C	CAB/1774A/RV	Report of voting on the update report from the Chair of the IECEE following the CMC meeting held in Agay (FR), on 2018-06-05, 06	7.4
C	CAB/1775/INF	2018 and 2019 deadlines for CAB documents Deadlines for submission and approval of CA Systems’ audited accounts and budgets	4.5
C	CAB/1778/DV	IECRE 2017 Audited Accounts for CAB approval	7.1.1
C	CAB/1778A/RV		
C	CAB/1789/INF	CASCO roadmap on publications and future projects	9.1.1
C	CAB/1792/R	CASCO/WG 46 report	9.1.2