



CAB/1925/DL
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2019-10-22

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB) Meeting 46, Shanghai, 2019-10-21

SUBJECT

List of decisions from CAB meeting 46, Shanghai, 2019-10-21

BACKGROUND

This list includes decisions taken by correspondence between the 45th and 46th CAB meetings, as well as decisions taken during CAB meeting 46 in Shanghai.

Decisions by correspondence between meetings

CAB Decision 46/01 — Endorsement of IECEE Rules of Procedure

Noting the positive ballot reported in CAB/1905A/RV, the CAB endorsed the IECEE Rules of Procedure contained in CAB/1905/DV, as follows:

- IECEE02 ed. 19.1
- IECEE02-1 ed. 7.0
- IECEE02-2 ed. 7.0
- IECEE02-3 ed. 6.0
- IECEE definitions ed. 6.0

Decisions taken at the meeting

CAB Decision 46/02 — *Approval of the agenda*

The CAB approved the agenda contained in CAB/1899B/DA but with two additions to Item 10, any other business, 10.2 Appointment of Mr Heribert Schorn as IEC Coordinator, and 10.3 Products under IEC TC 61 with electrotechnical and gas components, and with item 6.2 moved to the consent agenda due to the Convenor's absence.

CAB Decision 46/03 — *Approval of the consent agenda items*

CAB, by consent, noted and approved the 11 documents listed in Annex A to this decision list as consent agenda documents, and expressed appreciation to all those concerned with their creation and submission.

CAB Decision 46/04 — *CAB subgroups membership*

The CAB noted document CAB/1888B/INF, appointed the new members identified therein to the respective Working Groups.

CAB Decision 46/05 — *Environmental Issues*

The CAB expressed its gratitude to Mr Pierre Selva for the presentation, in document CAB/1917/INF, and for substituting-in for Mr Christophe Garnier, TC 111 Chair, who was not available to give this presentation. CAB tasked IECQ with liaising with TC111 and with the other IEC CA

Systems to investigate the business case for the creation of new services based on standards including those indicated in the document CAB/1917/INF.

CAB Decision 46/06 — *IECEE service for Radio*

The CAB appreciated the proposal from the French NC for a CB Scheme Radio, given in CAB/1890/DC, and, noted the comments received in CAB/1890A/CC. Following discussion, CAB agreed to consider the use of any relevant standards (which may include those from ETSI and ANSI) and tasked IECEE, in collaboration with the other IEC CA Systems, with investigating the business case for a possible service, using the documents CAB/1918/INF and CAB/1919/INF for reference as well as any other appropriate information, and to provide a progress report to CAB at its 2020 June meeting.

CAB Decision 46/07 — *Guidelines for the selection and approval of non-IEC or non-ISO standards for use in IEC CA schemes*

CAB Policy allows for the use of non-IEC or non-ISO standards for use within the IEC CA Systems, but does not provide guidance on how such standards may be selected or approved. For this reason, CAB tasked WG 10 with creating guidelines for the selection and approval of non-IEC and non-ISO standards and proposed its integration into the IEC CA Policy.

CAB Decision 46/08 — *Bilateral/Multilateral Agreements*

The CAB noted the proposal from the German NC, given in CAB/1904/DC, for bilateral agreements between governments of IEC National Committees which, when in the electrotechnical field, should recognize acceptance of CA results from the IEC CA Systems. Noting the comments received in CAB/1904A/CC and following discussion on the proposal, CAB supported the proposal and agreed to raise this topic to the IEC Council Board.

CAB Decision 46/09 — *UNECE recommendation*

The CAB requested that a proposal be made to UNECE WP. 6 for a recommendation to use IEC CA Systems as regulatory and national quality infrastructure best practice tool and tasked the CAB Secretariat with making this proposal.

CAB Decision 46/10 — *CAB WG 14 on Promotion*

The CAB thanked the WG 14 Convenor, Mr Ian Forte, for the document CAB/1908/R and his additional verbal report and congratulated the working group on the success of the four webinars already held in 2019 and wished them success for the final webinar this year. CAB also recognized that work on updating the Promotional Matrix was in progress and requested that a final version be submitted to the 2020 June CAB meeting for review.

CAB Decision 46/11 — *CAB WG 14 new Convenor appointment*

The CAB recognizing that the WG 14 Convenor, Mr Ian Forte, was completing his final term as a CAB Member and was therefore stepping down as the Convenor at the end of 2019, further thanked him for having led this group since its re-establishment in 2014. CAB then named Mr Thorsten Arnhold as Convenor with his appointment formally taking effect from 1st January 2020.

CAB Decision 46/12 — *CAB WG 17 – Cyber Security - Disbanded*

The CAB thanked the WG 17 Convenor, Mr Pierre Selva, for the document, CAB/1912/R, and his additional verbal report and, noting the comments in

CAB/1912/CC, supported the recommendation to disband CAB WG 17 and to transfer the remaining tasks to IECEE WG 31 (including monitoring and liaison work with respect to the IECQ cybersecurity activities).

Concerning the work with ENISA, the CAB decided:

- that a designated expert from IECEE WG 31 pursue membership in the ENISA ad-hoc group established to discuss a successor to the SOG-IS Mutual Recognition Agreement; and
- that the IEC CO pursue the MoU between IEC and ENISA.

CAB Decision 46/13 — CAB ahG FinCom

The CAB thanked the ahG Convenor, Mr Pierre Selva, for the report, CAB/1913/R, and the confirmation that the two-years financial forecasts did not require approval by CAB (this was formally captured in separate CAB Decision 46/14), and requested that this ahG complete its remaining assigned task and submit a final report to CAB at its June 2020 meeting.

CAB Decision 46/14 — clarification on CAB Decision 44/21

The CAB clarified CAB Decision 44/21 – Budget and two-years forecast, in the following ways:

- 1) The following year budgets will be submitted to CAB as usual in March for CAB approval.
- 2) The two-years forecasts will be submitted to CAB at the same time as the budget, but as a separate document, for information and comments, if necessary.

CAB Decision 46/15 — CAB TF IECRE Improvement Plan

The CAB thanked the taskforce Co-Convenor, Mr Shawn Paulsen, for his verbal report and looked forward to the final report at the June 2020 CAB meeting.

CAB Decision 46/16 — IECRE Annual report

The CAB, noting the annual report given in document CAB/1897/R, and the comments given in CAB/1897A/CC, endorsed the report and, in the absence of the IECRE Chair, Mr Sandy Butterfield, thanked the IECRE Deputy Executive Secretary, Mr Wolfram Zeitz for his additional verbal report.

CAB Decision 46/17 — Endorsement of IECRE Rules of Procedure

The CAB, noting document CAB/1897/R, endorsed the revised IECRE 04 Edition 3.0 - Rules of Procedure for the Certification of Photovoltaic Systems according to the IECRE-PV Schemes, given in the Annex.

CAB Decision 46/18 — IECRE 2018 audited accounts

The CAB, noting document CAB/1893A/DC and the comments received in CAB/1893B/CC, endorsed the IECRE audited accounts for 2018.

CAB Decision 46/19 — IECRE Executive Secretary appointment

The CAB, noting the document CAB/1900/DV, accepted the nomination of Mr Wolfram Zeitz and appointed him as the new IECRE Executive Secretary from 1 January 2020 to 31 December 2024.

CAB Decision 46/20 — IECEX Annual report

The CAB noted and endorsed the preliminary annual report given in document CAB/1902/R, and comments received given in CAB/1902A/CC, as well as the supplementary report given in CAB/1902B/R, and thanked the IECEX Chair, Mr Thorsten Arnhold, for his additional verbal report.

CAB Decision 46/21 — IECEx Chairman appointment

The CAB, noting the documents CAB/1902/R, CAB/1902B/R and CAB/1902A/CC, accepted the nomination of Mr Paul Meanwell as the new IECEx Chairman and appointed him to this position for the period 1 January 2020 to 31 December 2022.

CAB Decision 46/22 — IECEx 2018 audited accounts

The CAB, noting document CAB/1894A/DC and that no comments were received, as indicated in CAB/1894B/CC, endorsed the IECEx audited accounts for 2018.

CAB Decision 46/23 — IECQ Annual report

The CAB noting document CAB/1903/R and that there were no comments received, as indicated in CAB/1903A/CC, endorsed the annual report and thanked the IECQ Chair, Mrs Marie-Elisabeth d’Ornano, for her additional verbal report.

CAB Decision 46/24 — IECQ 2018 audited accounts

The CAB, noting document CAB/1891A/DC and that there were no comments received, as indicated in CAB/1891B/CC, endorsed the IECQ audited accounts for 2018.

CAB Decision 46/25 — IECEE Annual report

The CAB noting document CAB/1896/R and the comments received in CAB/1896A/CC endorsed the annual report and thanked the IECEE Chair, Mr Wolfgang Niedziella, for his additional verbal report and dealt with the matters for decision as follows:

- 1.1 See CAB Decision 46/26.
- 1.2 CAB approved the use of up to 10% of reserves as unbudgeted funds, for the potential financing of additional resources to enable the IEC IT department to advance IECEE IT projects.
- 1.3 See CAB Decision 46/27.
- 1.4 CAB approved the use of ITU recommendations within the IECEE.
- 1.5 CAB approved that IECEE develop a proposal for a new service for Time-sensitive Network (TSN) for future submission to CAB for a decision.
- 1.6 CAB approved IECEE to develop a concept, for future new services, covering partial conformity assessment of suitable aspects within specified standards, for submission to CAB for endorsement in October 2020 or by correspondence, whichever is earliest.
- 1.7 CAB agreed with the concerns of this recommendation and recognized that it was a duplication of an earlier CAB Decision, 45/36, supplemented the decision by requesting feedback from SMB on a regular basis.
- 1.8 CAB decided to raise this issue to the IEC Council Board.

CAB Decision 46/26 — IECEE 2018 audited accounts

The CAB, noting document CAB/1892A/DC and that no comments were received as indicated in CAB/1892B/CC, endorsed the IECEE audited accounts for 2018.

CAB Decision 46/27 — IECEE Executive Secretary appointment

The CAB, noting document CAB/1898/DV, accepted the nomination of Mr Wolfram Zeitz and appointed him as the new IECEE Executive Secretary from 1 January 2020 to 31 December 2024.

CAB Decision 46/28 — *SMB SG 13 – Consortia – Liaison report*

The CAB thanked its liaison officer, Mr Fredrik Wennersten, for his verbal report, and after some discussion decided to transfer this liaison to WG 10 rather than be a liaison with CAB.

CAB Decision 46/29 — *Gratitude to Affiliate Country Leadership*

The CAB expressed its gratitude to the Affiliate Leader, Mr Rojas Manyame, for his presentation to the CAB and report, CAB/1907/R. The CAB recognized the important role of the Affiliate Country Programme which facilitates Affiliate countries' understanding and use of IEC standards and conformity assessment services. The CAB also appreciated the value and the efforts of the ACAS programme, and was encouraged by its growth from 31 countries and 21 declarations, a year ago, to currently 33 countries and 24 declarations and the widespread and growing use of the e-Learning modules.

CAB Decision 46/30 — *Gratitude to Mr Amaury Santos*

The CAB expressed its gratitude to the Regional Director of the IEC Latin America Regional Office in Sao Paulo, Brazil, Mr Amaury Santos, for his presentation, in document CAB/1910/INF, and appreciated the capacity building and training activities, especially in conformity assessment of the regional centre, together with the success in having 8 countries who have signed the ACAS Pledge and Declaration.

CAB Decision 46/31 — *Gratitude to Mr Jan-Henrik Tiedemann*

The CAB expressed its gratitude and appreciation to Mr Tiedemann, IEC Academy & Capacity Building Manager, for his interesting and informative presentation, in document CAB/1906/INF, concerning the IEC Academy and Capacity Building.

CAB Decision 46/32 — *Gratitude to Mr Wei Hao – IEC Cybersecurity Ambassador*

The CAB expressed its gratitude to Mr Wei Hao for his participation in this CAB meeting and his presentation, given in document CAB/1916/INF. The CAB recognized that the Ambassador programme was of value and encouraged Mr Wei to continue in this capacity.

CAB Decision 46/33 — *Gratitude to Mrs Alessandra Salgado of IRENA*

The CAB expressed its gratitude to Mrs Alessandra Salgado for her presentation, given in document CAB/1915/MTG (circulated after the meeting), and for the consistent and loyal participation of IRENA at CAB meetings at IEC GMs. The CAB recognized the complementarity between IRENA and IECRE; IRENA with its government policy setting assistance, and the IECRE with its policy realisation assistance.

CAB Decision 46/34 — *Gratitude to Mrs Elena Santiago of CENELEC*

The CAB expressed its gratitude to Mrs Elena Santiago, CEN/CENELEC Director General, for her presence and for her interesting and informative presentation given in document CAB/1921/INF. CAB further expressed its appreciation for the strong support and cooperation by CENELEC towards IEC in the domain of cybersecurity over the past year, in the context of the EU Cyber Act, and encouraged this cooperation to continue.

CAB Decision 46/35 — *Gratitude to Mr Viktor Nazarenko of EEC*

The CAB expressed its gratitude to Mr Viktor Nazarenko, Member of the Board – Minister for Technical Regulation Eurasian Economic Commission, for his presence at this meeting and for his interesting and informative presentation given in document CAB/1924/MTG (circulated after the meeting).

CAB requests the Commission to recognize the obligations of the Member Bodies participating in IEC CA Systems.

CAB Decision 46/36 — *Gratitude to Mr Simon Barrowcliff*

The CAB expressed its gratitude to Mr Simon Barrowcliff, CAB Alternate from the UK, for his verbal update on the situation concerning Brexit. As there is a potential agreement on the table that has not yet been approved, the situation remains unsettled. CAB then asked if Mr Barrowcliff would also provide a further update to its next meeting in June 2020 or sooner if a result arises.

CAB Decision 46/37 — *Appointment of Mr Heribert Schorn as IEC Coordinator*

The CAB expressed its gratitude to Mr Heribert Schorn for his commitment over many years as an IEC Coordinator to many ISO/CASCO working groups and was pleased to appoint him as the IEC Coordinator to the new CASCO/WG 55 concerning the revision of ISO/IEC 17030.

ANNEX – document checklist			
CAB meeting 46 – Shanghai 2019-10-21			
	Document number	Subject	DA item
C	C/2215/INF C/2216/INF	To note the re-appointments and call for CAB members	4.9
C	CAB/1818A/INF	CAB membership, as of September 2019	4.7
C	CAB/1859B/RV	Report of voting on IECRE two years forecast budget, 2021-2022	4.4
C	CAB/1878/INF	CAB report to the Council Board (CB) following meeting 45, Geneva 2019-06-18	8.1.1
C	CAB/1879/INF	SMB report to the Council Board (CB) following meeting 45, Geneva 2019-06-18	8.1.2
C	CAB/1880/INF	SMB decisions of interest to the CAB, SMB Meeting 165, Geneva 2019-06-17	8.2
C	CAB/1887A/RM	Confirmed report of CAB meeting 45 held in Geneva (CH), on 2019-06-18	4.1
C	CAB/1888B/DC	CAB Subgroups membership, and liaison officers, as of September 2019	4.8
C	CAB/1888C/CC	Comments received on CAB Subgroups membership, and liaison officers, as of September 2019	4.8
C	CAB/1909/INF	2019 and 2020 deadlines for CAB documents & CA Systems audited accounts, budgets and two years forecasts	4.5