



**INTERNATIONAL ELECTROTECHNICAL COMMISSION**

**CONFORMITY ASSESSMENT BOARD (CAB) Meeting 47, Geneva, 2020-06-08&09**

**SUBJECT**

List of decisions from CAB web-meeting 47, Geneva, 2020-06-08 & 09

**BACKGROUND**

This list includes decisions taken by correspondence between the 46<sup>th</sup> and 47<sup>th</sup> CAB meetings, as well as decisions taken during CAB meeting 47 (a webmeeting).

**Decisions by correspondence between meetings**

**CAB Decision 47/01 - IECRE Chair appointment**

Noting the positive ballot reported in CAB/1934/RV, the CAB approved the appointment of Mr Alistair Mackinnon as IECRE Chair for a first 3 years term from 2020-01-01 to 2022-12-31, and since this position is currently unfilled, appointed, exceptionally, Mr Mackinnon to this position with immediate effect.

**CAB Decision 47/02 – Approval of IECRE 01-S Edition 3.0**

CAB approved the IECRE 01-S Edition 3.0 – IECRE Supplement to IEC CA 01 contained in CAB/1938/DV, as reported in CAB/1938A/RV, with the condition that IECRE management completes the consolidation of the sector Rules of Procedures by 2020-12-31.

**CAB Decision 47/03 – Endorsement of IECRE 02 Edition 5.0: Rules of Procedure**

CAB endorsed the IECRE 02 Edition 5.0: Rules of Procedure contained in CAB/1939/DV, as reported in CAB/1939A/RV, with the condition that IECRE management completes the consolidation of the sector Rules of Procedures by 2020-12-31.

**CAB Decision 47/04 – Endorsement of IECRE 05 Edition 2.0: Wind Energy – Operational Management Committee - Rules of Procedure (RoP)**

CAB endorsed the IECRE 05 Edition 2.0: Wind Energy – Operational Management Committee - Rules of Procedure contained in CAB/1971/DV, as reported in CAB/1971A/RV.

**CAB Decision 47/05 — IECQ Budget 2021**

Noting the positive ballot reported in CAB/1951A/RV, the CAB approved the IECQ budget for 2021 contained in CAB/1951/DV.

**CAB Decision 47/06 — IECEx Budget 2021**

Noting the positive ballot reported in CAB/1952A/RV, the CAB approved the IECEx budget for 2021 contained in CAB/1952/DV.

**CAB Decision 47/07 — IECEE Budget 2021**

Noting the positive ballot reported in CAB/1954A/RV, the CAB approved the IECEE budget for 2021 contained in CAB/1954/DV.

**CAB Decision 47/08 – IECQ Chair**

Noting the ballot document CAB/1988/DV the positive result reported in CAB/1988A/RV, the CAB appointed Mr Paul Turner as IECQ Chair for a first term commencing 2021-01-01 and ending 2023-12-31.

**CAB Decision 47/09 – IECQ Vice-Chair**

Noting the ballot document CAB/1988/DV the positive result reported in CAB/1988A/RV, the CAB appointed Dr Juyong Wan as IECQ Vice-Chair for a first term commencing 2021-01-01 and ending 2023-12-31.

**CAB Decision 47/10 – IECQ Officer positions**

Noting the ballot document CAB/1988/DV the positive result reported in CAB/1988A/RV, the CAB appointed Mr Chris Agius reappointed for a 5-year term commencing on 2021-01-01 and ending 2025-12-31.

**Decisions taken at the meeting**

**CAB Decision 47/11 — Approval of the agenda**

The CAB approved the agenda contained in CAB/1948B/DA, with the following modifications, Item 5.7 was removed, Items 4.11, 5.2 and 9.1.2 were moved from the consent agenda to the main agenda, and two items concerning GDPR and the centralized document and online voting system were added to Item 10 (AOB).

**CAB Decision 47/12 — Approval of the consent agenda items**

CAB, by consent, noted and approved the 21 documents listed in Annex A to this decision list as consent agenda documents, and expressed appreciation to all those concerned with their creation and submission.

**CAB Decision 47/13 — CAB subgroups membership**

The CAB noted document CAB/1932B/INF, appointed the new members identified therein to the respective Working Groups.

**CAB Decision 47/14 — Gratitude to Mike Mullane**

The CAB expressed its gratitude and appreciation to Mr Mike Mullane, from the IEC Marketing and Communications Department, for his interesting and informative report.

**CAB Decision 47/15 — Request to SMB concerning IEC 62443 series**

The CAB, recognizing that the IEC 62443 series of standards was in a process to become horizontal standards, requested to SMB that, in the interim, the following text (or similar text) be added to each standard: “This standard may be applied to products other than from the automation area as far as applicable.”

**CAB Decision 47/16 — *Gratitude to Mr Simon Barrowcliff***

The CAB expressed its gratitude to Mr Simon Barrowcliff, CAB Alternate from the UK, for his verbal update on the situation concerning Brexit. CAB then asked if Mr Barrowcliff would also provide a further update to its next meeting in November 2020.

**CAB Decision 47/17 — *CAB ahG - top 4 strategic priorities & self-assessment***

Following a review and discussion of documents CAB/1973/DC and CAB/1974/DC, and comments provided in CAB/1973A/CC and CAB/1974A/CC, CAB created a TF - *top 4 CAB strategic priorities & self-assessment*, tasked to propose the top 4 strategic priorities for CAB as well as the top 4 other issues, derived from the self-assessment report, and submit these proposals to CAB at its June 2021 meeting. The members of the taskforce are Mr Zeitz, Fayzrakhmanov, BK Lee, Cole, Arnhold and Ms Sterling with Mr Rajeev Vagdia as Convenor.

**CAB Decision 47/18 — *IECEE to investigate domestic gas cooking appliances***

Following a review and discussion of document CAB/1972/DC and comments provided in CAB/1972A/CC, CAB tasked IECEE with investigating the market need and opportunity for global CA services with respect to the domestic gas cooking appliances market, and to provide an update to CAB at its June 2021 meeting.

**CAB Decision 47/19 — *Report from WG 11 – Systems Issues***

The CAB thanked the WG 11 Convenor, Mr Tim Duffy, for his verbal report and for his many years as Convenor of this group, accepted his resignation and appointed Mr Marty Cole as the new Convenor.

**CAB Decision 47/20 — *Report from WG 14 - Promotion***

The CAB thanked the WG 14 Convenor, Mr Thorsten Arnhold, for the working group report in document CAB/1959/R, and approved the change of the name and scope of the working group as proposed in second recommendation, and tasked the CAB Secretariat with updating the relevant webpages.

**CAB Decision 47/21 — *Report from WG 18 – new CA services Radar***

The CAB thanked the WG 18 Convenor, Mr Gerhard Imgrund, for the working group report in document CAB/1985/R, and treated the two recommendations as follows:

- A.1 Approved the recommendation that WG 18 should review the current feedback procedure.
- A.2 Approved the recommendation that the IEC CA Systems’ WG convenor dealing with the task to implement the feedback procedure should be members of WG 18.

**CAB Decision 47/22 – *Endorsement of guidance document for hosting CA System’s annual meetings***

CAB agreed with the direction taken by the guidance document proposed in CAB/1961/DV but, noting from the discussions that some fine tuning was needed, tasked the Mr Selva, Agius and Zeitz with making a final proposal for CAB for approval by correspondence as quickly as possible.

**CAB Decision 47/23 – *ahG FinCom - Disbanded***

The CAB approved the recommendation to disband the ahG FinCom.

**CAB Decision 47/24 — *Report from CAB liaison to ACEE – Energy Efficiency***

The CAB thanked its liaison officer, Mr Toshiyuki Kajiya, for his report in document CAB/1968/R and his additional verbal report and encouraged him to continue with this important liaison work.

**CAB Decision 47/25 — *Questionnaire to CAB concerning energy efficiency limits***

The CAB tasked Mr Imgrund, Kajiya, Duffy and Ms Sterling, with creating a questionnaire for circulation to members of CAB by July 2020, concerning their needs in terms of having energy efficiency grades or levels defined in IEC energy efficiency standards.

**CAB Decision 47/26 — *Report from CAB liaison to ACOS – Safety***

The CAB thanked its liaison officer, Mr David Briere, for his report in document CAB/1969/R and his additional verbal report, and encouraged him to continue with this important liaison work.

**CAB Decision 47/27 — *TF - Review CA-in-standards Guidance Document***

The CAB, noting the report CAB/1969/R and comments in CAB/1969A/CC, and after discussion, decided not to review or modify the Guidance Document at this time.

**CAB Decision 47/28 — *TF – Review & Revise High-level guidance***

The CAB, taking into account the comments received in CAB/1986A/CC, discussed the draft High-level guidance document proposed by CB, as given in the attachment to the Annex of CAB/1986/DC, decided to create a *TF - Review & Revise High-level guidance*, tasked to review & revise the draft guidance document, and to submit its recommendation to CAB at the June 2021 meeting, following which endorsement from CB would be sought. The members named to the taskforce were Mr Imgrund, Selva, Vagdia, Zeitz, Duffy, Agius and Paulsen with Ms Sterling as Convenor.

**CAB Decision 47/29 — *ISO CASCO proposed changes to ISO/IEC Directives, Part 2, Clause 33.***

The CAB, noting the questionnaire CAB/1975/QP, and its results given in CAB/1975A/RQ, replied to the 4 questions as follows:

1. CAB did not support the deletion of “systems and bodies” as proposed in Change 1, Annex A, Circular letter N998.
2. CAB did not support the clarification of a CA scheme as proposed in Change 2, Annex A, Circular letter N998.
3. CAB did not support the addition of clause 33.4 as proposed in Change 3, Annex A, Circular letter N998.

4. CAB did not support the clarification that consultation for the development of a dual logo document must be requested of both ISO/CASCO and IEC/CAB, as proposed in Changes 1, 2 & 3 of Annex A, Circular letter N998, due to not wanting to open clause 33 to potential complications and due to the procedures agreed as described in document CAB/1942/INF.

CAB then tasked the CAB Secretariat with conveying this result to SMB and to CASCO.

**CAB Decision 47/30 — IEC Coordinators in ISO/CASCO WGs**

The CAB, noting document CAB/1963/INF, thanked the IEC Coordinators in ISO/CASCO WGs for their important contribution to the representation of IEC in these working group meetings and their reporting to the CAB. The CAB therefore thanks IEC Coordinators Mr Heribert Schorn, Mr Shawn Paulsen, Mr Chris Agius and Mr David Hanlon, and strongly encouraged them to continue in this important work.

**CAB Decision 47/31 — IAF Resolutions, 2016-17, 2017-19 & 2018-13**

The CAB, noting document CAB/1989/DV, decided to treat the recommendations given therein as follows:

- 1) CAB approved the communication as an AC, issued by the General Secretary, as a standard distribution and circulated to the IEC CA community at the earliest convenience.
- 2) CAB tasked the Taskforce - IAF Resolutions 2016-17, 2017-19 & 2018-13 with reviewing the IEC/ILAC/IAF Tripartite Agreement, and proposing any changes it considered necessary to rectify this issue and avoid such issues in the future, and to provide those proposals to CAB at its November 2020 meeting.
- 3) CAB tasked the IEC CA Systems with identifying, on an ongoing basis, situations where they believe that Resolutions 2016-17, 2017-19 or 2018-13 were being misused/misapplied by IAF member ABs, and report these to the CAB Secretariat, for notification to the IAF Secretariat.

**CAB Decision 47/32 — *minimum requirements covering personal data protection E.G. GDPR***

In relation to privacy concerns based on the EU GDPR and their application across the CA Systems, CAB tasked WG 11 with the development of minimum requirements and a common understanding of the applicability especially as to peer assessment reports.

<b>ANNEX – document checklist</b>			
CAB meeting 47 – Webmeeting 2020-06-08 & 09			
	Document number	Subject	DA item
C	C/2264/INF	Appointment of two “Automatically Appointed Members” of the Conformity Assessment Board (CAB) by Council in Stockholm for a three-year term of office (2021-2023)	4.9
C	C/2265/DC	Nominations for the election/re-election by Council in Stockholm of five members of the Conformity Assessment Board (CAB) for a three-year term of office (2021-2023)	4.9
C	CAB/1928A/RM	Confirmed report of CAB meeting 46 held in Shanghai (CN), on 2019-10-21	4.2
C	CAB/1934A/RV	Report of voting, with comments, on the appointment of the new IECRE Chair	4.5
C	CAB/1937A/INF	CAB membership, as of 1 March 2020	4.8
C	CAB/1938A/RV	Report of voting, with comments, on the approval of the IECRE 01-S Edition 3.0	4.5
C	CAB/1939A/RV	Report of voting, with comments, on the updated IECRE Rules of Procedure for CAB endorsement	4.5
C	CAB/1944/INF	SMB decisions of interest to the CAB, SMB Meeting 167, New Delhi (IN), 2020-02-12	8.2.2
C	CAB/1945/INF	CAB Chair report to ISO/CASCO Plenary	9.1
C	CAB/1946/INF	SMB report to the Council Board (CB) following SMB meeting 167, New Delhi (IN) 2020-02-12	8.1
C	CAB/1948/INF	2020 deadlines for CAB documents & CA Systems budgets	4.7
C	CAB/1952A/RV	Report of voting, with comments, on the budget of the IECEX for 2021	4.5
C	CAB/1956/R	Interim report from the Chair of the IECEX pertaining to developments in the IECEE since the October 2019 CAB meeting in Shanghai	7.2
C	CAB/1956A/CC	Comments on Interim report from the Chair of the IECEX pertaining to developments in the IECEE since the October 2019 CAB meeting in Shanghai	7.2
C	CAB/1964/R	IEC Coordinator report on ISO CASCO/WG 55 activities	9.1.3
C	CAB/1967/R	IEC Coordinator report on ISO CASCO/WG 49 activities	9.1.3
C	CAB/1971A/RV	Report of voting, with comments, on IECRE 05 Edition 2.0: Wind Energy – Operational Management Committee - Rules of Procedure for CAB endorsement	4.5
C	CAB/1981/DC	IECEX two years forecast budget, 2022-2023	7.2
C	CAB/1981A/CC	Comments received on the IECEX two years forecast budget, 2022-2023	7.2
C	CAB/1988A/RV	Report of voting on approval of IECQ Officer positions	4.5
C	CAB/1990/INF	ITAG Working Group on Coordination with Management Boards and Advisory Groups	8.6