



INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB) Meeting 49, Geneva, 2021-06-14 & 15

SUBJECT

List of decisions from CAB web-meeting 49, Geneva, 2021-06-14 & 15.

BACKGROUND

This list includes decisions taken by correspondence between the 48th and 49th CAB meetings, as well as decisions taken during CAB meeting 49 (a web-meeting).

Decisions by correspondence between meetings

CAB Decision 49/01 — Approval of IEC CA Promotional Matrix, Ed: 2

The CAB, noting document CAB/2039/DV, approved the updated version of the IEC CA Promotional Matrix given in the Annex, and tasked the CAB Secretariat with coordinating its publication as Edition 2.0 and its availability as a CAB standing document on the IEC website.

CAB Decision 49/02 – Approval of IECEx 04 – IECEx Conformity Mark Licensing System, Edition 2.0

CAB approved the revised rules of procedure, IECEx 04 – IECEx Conformity Mark Licensing System, as given in document CAB/2044/DV, for publication as Edition 2.0.

CAB Decision 49/03 – Approval of IECEx 01-S ed.3.0, supplement to the Basic Rules

CAB approved the revised Supplement, IECEx 01-S ed.3.0, supplement to the Basic Rules, as given in document CAB/2052/DV, for publication as Edition 3.0.

CAB Decision 49/04 – IECEx budget 2022

Noting the positive ballot reported in CAB/2064A/RV, the CAB approved the IECEx budget for 2022 contained in CAB/2064/DV.

CAB Decision 49/05 – IECQ budget 2022

Noting the positive ballot reported in CAB/2065A/RV, the CAB approved the IECQ budget for 2022 contained in CAB/2065/DV.

CAB Decision 49/06 – IECEE budget 2022

Noting the positive ballot reported in CAB/2066A/RV, the CAB approved the IECEE budget for 2022 contained in CAB/2066/DV.

CAB Decision 49/07 – IECRE budget 2022

Noting the positive ballot reported in CAB/2067A/RV, the CAB approved the IECRE budget for 2022 contained in CAB/2067/DV.

CAB Decision 49/08 - ISO CASCO proposed changes to ISO/IEC Directives, Part 2, Clause 33.

The CAB, noting document CAB/2061/DV, and noting the arguments given by the two representatives from CASCO, Graham Drake and Cristina Draghici, agreed to the proposed changes in sub-Clause 33.1 paragraph 3 and sub-Clause 33.2 paragraph 2b) but did not agree to the other proposed changes, however, understanding their importance to CASCO, encouraged CASCO to seek to include these additional requirements into the ISO Supplement, in a similar way that IEC has added additional requirements to Clause 33.1 in the IEC Supplement under Annex SD.

CAB then tasked the CAB Secretariat with conveying this result to SMB and to CASCO.

Decisions taken at the meeting

CAB Decision 49/09 — *Approval of the agenda*

The CAB approved the agenda contained in CAB/2075B/DA, with the following modifications 6.15 moved from the consent agenda to the main agenda.

CAB Decision 49/10 — *Approval of the consent agenda items*

CAB, by consent, noted and approved the 32 documents listed in Annex A to this decision list as consent agenda documents, and expressed appreciation to all those concerned with their creation and submission.

CAB Decision 49/11 — *CAB subgroups membership*

The CAB noted document CAB/2045B/INF, appointed the new members identified therein to the respective Working Groups.

CAB Decision 49/12 — *Gratitude to Mr Simon Barrowcliff*

The CAB expressed its gratitude to Mr Simon Barrowcliff, CAB Alternate from the UK, for his verbal update on the situation concerning Brexit.

CAB Decision 49/13 — *Clarification of CA Systems' Officer definition*

Noting the document CAB/2055/DC, the comments given in CAB/2055A/CC and the discussion at the meeting, CAB decided to treat the recommendations as follows:

R1: CAB tasked WG 11, in coordination with IECEE, to revise and improve Clause 8.3.102 of the IECEE 01-S, to clarify that the Chair-Elect position is an Officer position. Text modifications should then be submitted to CAB for approval.

R2: CAB tasked WG 11 with proposing text modifications in Clause 8 of the IEC CA 01, to clarify that Officer positions can be defined in the Supplements, and to clarify that a CAB Decision was needed to appoint each Officer position. Text modifications should then be submitted to CAB for approval.

CAB Decision 49/14 — *Report from WG 10 – Policy and Strategy*

The CAB thanked the WG 10 Convenor, Mr Steve Margis for the working group report in document CAB/2084/R, and noting the comments given in CAB/2084A/CC and the discussion during the meeting, decided to treat the recommendations as follows:

A1: CAB approved the proposed revisions to IEC CAB-P02 1.3 for immediate publication.

A2: CAB approved the proposed revision of IEC CAB Good Working Practices Guide for immediate publication.

CAB Decision 49/15 — *Report from WG 11 – Systems Issues*

The CAB thanked the WG 11 Convenor, Mr Marty Cole, for the working group report in document CAB/2087/R, and noting the support given in the comments in CAB/2087A/CC and the discussion during the meeting, approved the document IEC CAB-G01: 2021-07, Ed. 1.0 (given in the Annex to CAB/2087/R) and tasked the CAB Secretariat with its publication and availability on the CAB Standing Documents webpage. CAB also requested that any CA Systems' document(s) that treated the same topic be withdrawn.

CAB Decision 49/16 — *Report from WG 14 - Promotion*

The CAB thanked the WG 14 Convenor, Mr Thorsten Arnhold, for the working group report in document CAB/2086/R, and supported the comment given in CAB/2086A/CC, that WG 14 “continue on the same work dynamic”. In view of the scheduled CA System website revisions, CAB also supported the objective that all 4 websites continue to maintain and/or converge on a similar IEC look and feel, and be platform based while allowing limited and defined flexibility to permit adaption to any specific differences that the individual CA Systems may need.

CAB Decision 49/17 — *Report from WG 18 – new CA services Radar*

The CAB thanked the interim WG 18 Convenor, Mr Heribert Schorn, who, due to the retirement of the former Convenor, Mr Gerhard Imgrund, had taken on the role as interim Convenor and had submitted the working group report given in document CAB/2097/R. CAB then noting the support given in CAB/2097A/CC and in comments given during the meeting, treated the two recommendations as follows:

A.1 CAB appointed Mr Heribert Schorn as the new Convenor of WG 18.

A.2 CAB reminded the CA Systems of their obligation to report to CAB/WG 18, following their respective MC meetings each year, on the status of evaluation of new CA services radar items using the revised feedback procedure, as indicated in CAB Decision 48/07.

CAB Decision 49/18 — *Report from ahG Lessons Learned*

The CAB thanked the Convenor, Mr Simon Barrowcliff for the ad-hoc group report in document CAB/2059/R, and noting the comments given in

CAB/2059A/CC and the discussion during the meeting, decided to treat the recommendations as follows:

- A.1: CAB supported the recommendations in Annex A of CAB/2029/R.
- A.2: CAB tasked WG 10 to review the recommendations and to make a recommendation to CAB which parts should go into a standalone guidelines and which parts could go into the IEC CA Directives.
- A.3: CAB, considered that all tasks had been completed, disbanded the ad-hoc group.

CAB Decision 49/19— *TF – Review & Revise High-level guidance*

The CAB thanked the Convenor, Ms Joan Sterling for the taskforce report in document CAB/2082/R, and noting the comments given in CAB/2082A/CC and the discussion during the meeting, decided to treat the recommendations as follows:

- A.1: CAB endorsed the guidance document in Appendix A of CAB/2082/R and noted that section (2) was for immediate use by CAB and the IEC CO when developing new agreements or reviewing existing agreements, with external organizations, that concern IEC CA activities.
- A.2: CAB expressed its appreciation to the IEC Legal and Compliance Officer, Claudia MacMaster, for her contribution to the discussions on this topic, and encouraged her to quickly complete the inventory project and to create internal contracts training and template-building, using information in the guidance document in the Appendix to CAB/2082/R, as necessary.
- A.3: CAB, considered that all tasks had been completed, disbanded the taskforce.

CAB Decision 49/20 — *CAB ahG - top 4 strategic priorities & self-assessment*

The CAB thanked the Convenor, Mr Rajeev Vagdia for the ad-hoc group report in document CAB/2054/R, and noting the comments given in CAB/2054A/CC and the discussion during the meeting, decided to treat the recommendations as follows:

- A.1: CAB approved the top four strategic priorities (SP) and the four other issues (OI), derived from the 2019 self-assessment, that were proposed in CAB/2054/R, and tasked WG 10 with reviewing SP 1 (Governance and Rules of Procedure) and OI 2 (CAB Member responsibilities), WG 14 with reviewing SP 2 (Business development including promotion and marketing) & 3 (External Engagement), and the CAB Secretariat with reviewing SP 4 (IT) and OI 1 (CAB Member competency) & 3 (CAB meeting management and agenda), and tasked each group with proposing actions where appropriate. Furthermore, CAB tasked the CAB Chair and Secretary with reviewing the remaining item (OI 4, Finance) and providing any appropriate recommendations.
- A.2: CAB, considered that all tasks had been completed, disbanded the ad-hoc group.

CAB Decision 49/21 — *Report from CAB Liaison to SMB ACOS*

The CAB thanked the CAB Liaison to ACOS, Mr David Brière, for his report given in document CAB/2091/R, and replied to the recommendation that CAB consider the preparation of a position paper on the matter of ethical topics by saying that CAB already had an action item on the subject of Ethically Questionable Products (see CAB Decision 48/18), and would await its outcome before assigning new tasks.

CAB Decision 49/22 — Report from CAB Liaison to SMB ACSEC

The CAB thanked the CAB Liaison to ACSEC, Mr Pierre Selva, for his report given in document CAB/2090/R, and in response to the comment concerning the very slow decisional process to convert the IEC 62443 series of standards into a horizontal publication (as defined in Guide 108). CAB supported the urgency to convert the IEC 62443 into a horizontal publication and asked SMB to explore with CAB possible ways to speed up the procedure. CAB also requested a timeline and status update on the conversion of the standard.

CAB Decision 49/23 — Gratitude to Mr Niedziella – IEC EU Cybersecurity Ambassador

The CAB expressed its gratitude to Mr Wolfgang Niedziella for his verbal report on the EU cybersecurity CA situation and his actions and efforts on behalf of IEC in this respect.

CAB Decision 49/24 — Gratitude to Mr Sollie – CA Ambassador to the IEC Affiliates

The CAB expressed its gratitude to Mr Trond Sollie for his verbal report on a survey to the Affiliate Countries which concerned many CA issues.

CAB Decision 49/25 – IECRE mid-year report

The CAB, noting the mid-year report given in document CAB/2076A/R, and that there were no items for CAB Decisions, thanked the IECRE Chair, Mr Alistair Mackinnon for his additional verbal report.

CAB Decision 49/26 – IECEX mid-year report

The CAB, noting the mid-year report given in document CAB/2089/R, and that there were no items for CAB Decisions, thanked the IECEX Chair, Mr Paul Meanwell for his additional verbal report.

CAB Decision 49/27 – IECQ mid-year report

The CAB, noting the mid-year report given in document CAB/2094/R, thanked the IECQ Chair, Mr Paul Turner for his additional verbal report and treated the two issues for CAB Decision as follows:

- 1) CAB recognized the difficulty IECQ was having due to the meetings situation under Covid to find a new Treasurer and that the current Treasurer would complete his second term at the end of 2021, and therefore re-appointed Mr Wynn Bowman to a further one-year term as IECQ Treasurer from 1 January 2022 to 31 December 2022.
- 2) On the request to hold IECQ Management Committee meetings as hybrid meetings, while understanding the circumstances for this request and therefore agreeing to it, CAB nevertheless expressed its strong preference that all management meetings should be held as fully remote meetings or as face-to-face meetings, and that hybrid meetings should be the choice of last resort occurring only during a strictly time limited period (eg: until the WHO declared end of the pandemic), or under strictly limited circumstances.

CAB Decision 49/28 — *IECEE mid-year report*

The CAB, noting the mid-year report given in document CAB/2083/R, and that there were no items for CAB Decisions, thanked the IECEE Chair, Mr Wolfgang Niedziella for his additional verbal report.

CAB Decision 49/29 — *AC/31/2017 Feedback procedure into ISO/IEC Directives*

The CAB, noting the poor response from some IEC TC/SCs and the potential negative quality impact on the services delivered by the IEC, to the delivery of Decision Sheets from, for example, the IECEE CTL, reminds SMB of the joint SMB/CAB procedure as described in AC/31/2017, and requests that this procedure be added to the ISO/IEC Directives, and that the relevant IEC Technical officers and TC/SC Chair and Secretaries be trained in this procedure.

CAB Decision 49/30— *Gratitude to SMB Chair*

The CAB expressed its gratitude and appreciation to Mr Ralph Sporer, SMB Chair, for his interesting and informative report.

CAB Decision 49/31 — *SMB Decision 170/16 with regards to Safety in the Future*

The CAB, recognizing the SMB Decision 170/16 to establish an ACOS Taskforce (TF) to study collaborative safety as a response to the MSB White Paper – *Safety in the Future*, and that this study could be important for future IEC CA work, requested SMB that it may nominate more than one expert from CAB to fully participate in the activities of the TF.

CAB Decision 49/32 — *New Revenue Generation (NRG) report*

The CAB expressed its gratitude and appreciation to Mr Pierre Selva for this verbal report.

CAB Decision 49/33 — *Gratitude to Affiliate Country Programme Secretary*

The CAB expressed its gratitude and appreciation to Ms Aristeia Kyriakati, the Affiliate Country Programme Secretary for her interesting and informative report.

CAB Decision 49/34 — *IEC Coordinators in ISO/CASCO WGs*

The CAB, noting document CAB/2072/INF, thanked the IEC Coordinators in ISO/CASCO WGs for their important contribution to the representation of IEC in these working group meetings and their reporting to the CAB. The CAB therefore thanks IEC Coordinators Mr Heribert Schorn, Mr Shawn Paulsen, Mr Chris Agius and Mr David Hanlon, and strongly encouraged them to continue in this important work.

ANNEX – document checklist			
CAB meeting 49 – Web-meeting 2021-06-14 & 15			
	Document number	Subject	DA item
C	CAB/2038/INF	CAB report to the Council Board (CB) following meeting 48, held as a web-meeting on 2020-11-09&10	8.1
C	CAB/2039A/RV	Approval of the IEC CA Promotional Matrix, edition 2.0	4.5
C	CAB/2040B/RM	To note the confirmed 2020 November meeting report	4.2
	CAB/2043A/R	Updated report on new actions and follow-up status of outstanding CAB matters	4.4
C	CAB/2044A/RV	Report of voting on Approval of the IECEX 04 Rules for the IECEX Conformity Mark	4.5
C	CAB/2046C/INF	To note the current membership of the CAB	4.7
C	CAB/2049/INF	SMB decisions of interest to the CAB	8.2.1
C	CAB/2050/INF	SMB report to the Council Board (CB) following meeting 169, held as a web-meeting on 2020-11-09/10.	8.1
C	CAB/2052A/RV	Report of voting on CAB approval of IECEE 01-S ed.3.0, supplement to the Basic Rules	4.5
C	CAB/2051/INF	MSB report to Council Board	8.1
C	CAB/2060/INF	2019 & 2020 CAB self-assessment survey trend analysis	5.4
C	CAB/2061A/RV	Results on proposed changes to ISO/IEC Directives, Part 2, Clause 33	4.5
C	CAB/2062/INF	IEC Executive Committee (ExCo) information on the 2021 IEC General Meeting (GM)	8.1.2
C	CAB/2064/DV	IECEX budget 2022	7.2.2
C	CAB/2064A/RV	Report of voting on Budget of the IECEX for 2022, for CAB approval	4.5
C	CAB/2065/DV	IECQ budget 2022	7.3.2
C	CAB/2065A/RV	Report of voting on Budget of the IECQ for 2022, for CAB approval	4.5
C	CAB/2066/DV	IECEE budget 2022	7.4.2
C	CAB/2066A/RV	Report of voting on Budget of the IECEE for 2022, for CAB approval	4.5
C	CAB/2067/DV	IECRE budget 2022	7.1.2
C	CAB/2067A/RV	Report of voting on Budget of the IECRE for 2022, for CAB approval	4.5
C	CAB/2069/INF	CAB Chair report to ISO/CASCO Plenary 2021	9.1.1
C	CAB/2070/INF	Report from ISO/CASCO/CPC 2021-01-27	9.1.2
C	CAB/2073A/R CAB/2073B/CC	IEC Coordinator report on ISO CASCO/WG 55 activities	9.1.4
C	CAB/2074/R	IEC Coordinator report on ISO CASCO/WG 57 activities	9.1.4
C	CAB/2077/DC CAB/2077A/CC	IECEE 2YearsForecast	7.4.3
C	CAB/2081/R	Liaison report of ACEC (Advisory Committee on Electromagnetic Compatibility)	6.11
C	CAB/2088/R	IEC Communications Department report	8.7
C	CAB/2092/R CAB/2092A/CC	IEC IT update	8.8